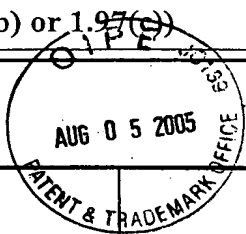


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.
OKI.616

In Re Application Of: **Hiroyuki FUKUNAGA**



Serial No.

NEW

Filing Date

JANUARY 22, 2004

Examiner

TO BE ASSIGNED

Group Art Unit

TO BE ASSIGNED

Title: **METHOD OF MANUFACTURING NONVOLATILE SEMICONDUCTOR STORAGE DEVICE**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
OKI.616

In Re Application: **Hiroyuki FUKUNAGA**

AUG 05 2005
PATENT & TRADEMARK OFFICE

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NEW

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Group Art Unit
TO BE ASSIGNED

METHOD OF MANUFACTURING NONVOLATILE SEMICONDUCTOR STORAGE DEVICE

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
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*This certificate may only be used if paying by deposit account.


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Dated: **JANUARY 22, 2004**

ADAM C. VOLENTINE
REG. NO. 33289

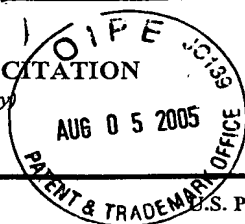
VOLENTINE FRANCOS, PLLC
12200 SUNRISE VALLEY DRIVE, SUITE 150
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CC:

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)
OKI.616

Application Number
NEW

Applicant(s)
Hiroyuki FUKUNAGA

Filing Date
JANUARY 22, 2004

Group Art Unit
TO BE ASSIGNED

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	6,313,498	11/06/2001	CHEN			
	B	6,114,230	09/05/2000	CHANG et al.			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	C	06-188431	07/08/1994	JAPAN			✓	
	D	05-175508	07/13/1993	JAPAN			✓	
	E	62-131582	06/13/1987	JAPAN				✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

REQUEST FOR EARLY NOTIFICATION OF SERIAL NUMBER

ATTY DOCKET #: OKI.616

MAIL STOP PATENT APPLICATION

APPLICANT: Hiroyuki FUKUNAGA

DUE DATE: October 30, 2004

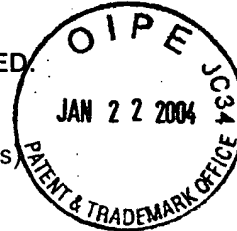
SERIAL NO.: (NEW)

FILING DATE: January 22, 2004

TITLE: METHOD OF MANUFACTURING NONVOLATILE SEMICONDUCTOR STORAGE

RECEIPT OF THE FOLLOWING PAPERS IS ACKNOWLEDGED.

- Utility Patent Application Transmittal
- Recordation Cover Sheet including Assignment (2 pages)
- Specification, Claims and Abstract (23 pages)
- Declaration and Power of Attorney (3 Pages)
- 5 Sheets of Drawings for Figures 1-5G
- Transmittal of Information Disclosure Statement, PTO-1449 Form and 4 references
- \$810 Filing Fee (\$770 filing fee, \$40 Assignment Fee)



DATE: January 22, 2004

ATTY: ACV

[Check No. 11346]